28 February 2013

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damage

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and

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ERYTHROPOIETIN NEUROPROTECTION IS ENHANCED BY DIRECT CORTICAL APPLICATION FOLLOWING SUBDURAL BLOOD EVACUATION IN A RAT MODEL OF ACUTE SUBDURAL HEMATOMA

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- 17 Abstract-Recombinant human erythropoietin (EPO) has been successfully tested as neuroprotectant in brain injury models. The first large clinical trial with stroke patients, however, revealed negative results. Reasons are manifold and may include side-effects such as thrombotic complications or interactions with other medication, EPO concentration, penetration of the blood-brain-barrier and/or route of application. The latter is restricted to systemic application. Here we hypothesize that EPO is neuroprotective in a rat model of acute subdural hemorrhage (ASDH) and that direct cortical application is a feasible route of application in this injury type. The subdural hematoma was surgically evacuated and EPO was applied directly onto the surface of the brain. We injected NaCl, 200, 2000 or 20,000 IU EPO per rat i.v. at 15 min post-ASDH (400 µl autologous venous blood) or NaCl, 0.02, 0.2 or 2 IU per rat onto the cortical surface after removal of the subdurally infused blood t at 70 min post-ASDH. Arterial blood pressure (MAP), blood chemistry, intracranial pressure (ICP), cerebral blood flow (CBF) and brain tissue oxygen (ptiO₂) were assessed during the first hour and lesion volume at 2 days after ASDH. EPO 20,000 IU/rat (i.v.) elevated ICP significantly. EPO at 200 and 2000 IU reduced lesion volume from $38.2 \pm 0.6 \text{ mm}^3$ (NaCl-treated group) to 28.5 \pm 0.9 and 22.2 \pm 1.3 mm³ (all p < 0.05 vs. NaCl). Cortical application of 0.02 IU EPO after ASDH evacuation reduced injury from 36.0 ± 5.2 to $11.2 \pm 2.1 \text{ mm}^3$ (p = 0.007), whereas 0.2 IU had no effect $(38.0 \pm 9.0 \text{ mm}^3)$. The highest dose of both application routes (i.v. 20,000 IU; cortical 2 IU) enlarged the ASDH-

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Abbreviations: ASDH, acute subdural hemorrhage; BBB, blood-brainbarrier; CBF, cerebral blood flow; EPO, erythropoietin; EPOR, erythropoietin receptor; ICP, intracranial pressure; LDU, Laser-Doppler units; PI3-K, phosphatidylinositol-3-kinase; ptiO₂, brain tissue oxygen; rtPA, recombinant tissue plasminogen activator; TBI, traumatic

Q2 brain injury.

 $67.9 \pm 10.4 \text{ mm}^3$ (all $\rho < 0.05 \text{ vs. NaCl}$). In order to test whether Tween-20, a solvent of EPO formulation 'NeoRecomon®, was responsible for adverse effects two groups were treated with NaCl or Tween-20 after the evacuation of ASDH, but no difference in lesion volume was detected. In conclusion, EPO is neuroprotective in a model of ASDH in rats and was most efficacious at a very low dose in combination with subdural blood removal. High systemic and topically applied concentrations caused adverse effects on lesion size which were partially due to increased ICP. Thus, patients with traumatic ASDH could be treated with cortically applied EPO but with caution concerning concentration. © 2013 IBRO. Published by Elsevier Ltd. All rights reserved.

significantly

Key words: acute subdural hemorrhage, clot evacuation, recombinant erythropoietin, neurotoxicity, rat.

INTRODUCTION

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Acute subdural hemorrhage (ASDH) is still a devastating 20 consequence of traumatic brain injury (TBI) and worsens 21 mortality and disability of severely head-injured patients. 22 Despite decades of clinical and experimental research 23 ASDH is mainly treated by the surgical removal of 24 extravasated blood volume (e.g. Bullock et al., 2006). 25 This can reduce mortality significantly but does not stop 26 pathophysiological processes which have already been 27 initiated by trauma and hemorrhage (Hlatky et al., 2007). 28 Until now neuroprotective drug effects in pre-clinical 29 studies failed to translate into a successful treatment of 30 TBI patients. This is partially due to the fact that the 31 treatment approach includes a single neuropathological 32 Targeting multiple neuropathological mechanism. 33 processes which contribute to injury development may 34 help to reduce neuronal cell death, and improve repair 35 and functional recovery following TBI. ASDH contributes 36 to this process by adding a pronounced ischemia and 37 contact of blood-derived factors or mediators from 38 extravasated blood with brain tissue. We and others 39 could show that blood-derived factors or mediators play 40 a major role for lesion development following 41 hemorrhage (Kuroda et al., 1992; Dreier et al., 2000). 42 Furthermore, apoptosis, free radicals and inflammation 43 are part of the pathophysiological cascade that is 44 initiated (Kwon et al., 2003; Alessandri et al., 2006; 45 Wang and Dore, 2007). 46

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Erythropoietin (EPO) is an endogenous cytokine that 47 is essential for erythropoiesis. EPO and its receptors 48 (EPOR) are produced and expressed in endothelial 49 cells, neurons and astrocytes (Hasselblatt et al., 2006). 50 Erythropoietin exerts tissue protection by anti-apoptotic, 51 anti-oxidative, anti-inflammatory, angiogenic 52 and neurotrophic mechanisms (Morishita et al., 1997; Siren 53 et al., 2001, 2009; Wakida et al., 2007; Hartlev et al., 54 Velly et al., 2010), factors which are also 55 2008: important for lesion development after traumatic ASDH. 56 Recombinant human ervthropoietin 57 shows neuroprotection in various models of human disease 58 such as stroke (Sakanaka et al., 1998; Kilic et al., 2005; 59 60 Kawata et al., 2006: Wang et al., 2007: Gonzalez et al., 2009), subarachnoid hemorrhage (Alafaci et al., 2000; 61 62 Grasso, 2001), spinal cord injury (Celik et al., 2002; Gorio et al., 2002; Grasso et al., 2006), concussive 63 brain injury (Brines et al., 2000; Yatsiv et al., 2005; 64 Chen et al., 2007; Zhang et al., 2009) and intracerebral 65 66 hemorrhage (Lee et al., 2006).

A first clinical trial to study the efficacy and safety of 67 three injections of 33,000 IU EPO in stroke patients 68 revealed benefits on outcome parameters and that the 69 70 agent is well tolerated (Ehrenreich et al., 2002). A later 71 large scale trial showed, however, no beneficial 72 outcome and even higher mortality in stroke patients 73 treated with 40,000 IU EPO (Ehrenreich et al., 2009). In 74 this trial recombinant tissue plasminogen activator (rtPA) was allowed and analysis of data raised safety concerns 75 especially in rtPA + EPO-treated patients. An adverse 76 effect of rtPA on EPO treatment could later be 77 confirmed in animals (Jia et al., 2010). Other reasons 78 for a negative result could be the injury-dependent 79 degree of the blood-brain-barrier (BBB) opening that 80 may result in a wide range of cerebral EPO 81 concentrations or the used dosage which is generally 82 83 higher in animal models. In a case report by Nirula et al. (2010) treatment of severe TBI patients with a single 84 dose of 40,000 IU EPO had no clear beneficial effect on 85 outcome parameters. Another study treating patients 86 after subarachnoid hemorrhage (SAH, NCT00626574) 87 has been terminated due to the risk of increased 88 mortality in the EPO-treated group. Nevertheless, 89 90 several clinical trials using EPO for the treatment of TBI 91 patients are on-going (www.clinicaltrials.gov: NCT00987454, Australia/New Zealand; NCT00313716, 92 USA). The problem of EPO dose, dosing interval, 93 number of doses required and route of application to 94 improve patient outcome following brain injury seems 95 not to be resolved. Similarly, neuroprotection by EPO 96 97 treatment has not been fully surveyed in pre-clinical trials after TBI and not at all after ASDH. Since only 98 small amounts of EPO penetrate the blood-brain-barrier 99 100 (Banks et al., 2004; Xenocostas et al., 2005) the route 101 of application becomes of interest especially in patients with ASDH. In these cases the brain tissue is exposed 102 in order to remove the subdural blood volume and EPO 103 could be applied topically, thus bypassing the blood-104 brain-barrier. In order to examine neuroprotective effects 105 of EPO following ASDH we treated rats with post-ASDH 106 injections of various doses and compared results of i.v. 107

injection with the application on the cortical surface after 108 surgical removal of the subdural blood volume. 109

EXPERIMENTAL PROCEDURES 110

Animals

Male Sprague–Dawley rats (Charles River, Germany) were used112for all experiments. They had free access to food and water and113were housed at a 12:12-h light:dark cycle and 50% humidity.114Experiments were approved by the local ethics committee and115performed according to guidelines for use and care of116laboratory animals.117

Anesthesia and surgical preparation

Rats were anesthetized with chloral hydrate (36 mg/ml; Dept. of 119 Pharmacy, University Medical Center, Mainz, Germany). First, 120 they received an i.p. bolus injection of 1 ml/100 g body weight. 121 Thereafter, approx. 1 ml chloral hydrate was injected hourly 122 through an intraperitoneal catheter. Atropin (1 mg) was injected 123 124 s.c. Body temperature was kept at 37 \pm 0.5 °C with a rectal temperature probe connected to a heating blanket control unit 125 (Harvard Instruments, USA). Before surgical preparation 126 animals were intubated and mechanically ventilated with a 127 mixture of room air/O2. 128

Tail artery and jugular vein were cannulated (0.8-0.96 mm 129 o.d. PE tubing; Portex, UK) for mean arterial blood pressure 130 (MAPB) and blood gas analysis and for the withdrawal of Q3 131 autologous venous blood. In order to prepare for 132 neuromonitoring and subdural blood infusion rats were fixed in 133 a stereotaxic frame (TSE, Germany). After a skin incision the 134 exposed skull was cleaned and disinfected using 3% H₂O₂. A 135 craniotomy was performed by drilling posterior to the Bregma 136 suture (diam. 3 mm). The dura mater was penetrated using a 137 G26 needle and an L-shaped, blunted needle (G23, B+Braun, 138 Germany) was carefully inserted underneath the dura and fixed in place by Histoacryl[®] (Bbraun, Germany) and dental cement 139 140 (Palavit[®]55VS). Anterior to the Bregma suture an area of 2 141 × 2 mm was thinned out with a high speed drill for cerebral 142 blood flow (CBF) monitoring (Vasamedics Laserflo® BPM2, St. 143 Paul, USA). Contralateral to the subdural needle a small burr 144 hole was drilled and an intracranial pressure (ICP) catheter 145 (NeuroventP 3F; Raumedic, Germany) was inserted into the 146 cortical tissue. A Licox oxygen sensor (1 mm² sensing area; 147 Integra) was placed close to the ICP catheter and brain tissue 148 oxygen tension (ptiO₂) was measured continuously in study 1 149 150 only.

Monitoring and acute subdural hematoma

Implanted subdural needle, ICP catheter, ptiO₂ probe and 152 LD-probe were left in place until stable ICP and CBF values 153 were reached. Thereafter, a 15-min baseline monitoring period 154 started and values were recorded every minute throughout the 155 entire experiment. At the end of baseline, venous blood from 156 the jugular vein was withdrawn and 400 µl was subdurally 157 infused at a rate of 50 $\mu\text{l/min}.$ The subdural needle was left in 158 place until the end of monitoring, then clipped off as close to 159 the skull as possible and completely sealed off by Histoacryl[®] 160 in Experiment 2. Post-ASDH monitoring continued in this 161 experiment for 60 min (Fig. 1). In Experiments 3 and 4 the 162 needle was carefully removed at 60 min post-ASDH, the dura 163 mater cut off and all visible blood evacuated. The craniotomy 164 was finished by replacing and sealing off the conserved bone 165 flap. In these series animals were monitored for another 15 min 166 (Fig. 1). All animals were allowed to survive for 48 h. 167

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Fig. 1. Experimental design of Experiments 1 + 2 consisted of a 15min baseline, infusion of 400 µl autologous venous blood (8 min) and a 60-min monitoring period. NaCl (0.9%) or EPO (200, 2000 or 20,000 IU/animal) was injected i.v. 15 min after the end of ASDH (gray bar). At the end of 60-min post-ASDH monitoring animals were either euthanized for CSF and removal of brains (Experiment 1) or allowed to survive for 2 days (Experiment 2). Experimental design for Experiments 3 + 4 consisted of a 15-min baseline, 8-min subdural blood infusion, a 60-min post-ASDH monitoring period and a 15-min monitoring period after the evacuation of subdural blood. 150 µl of NaCl, EPO (0.02, 0.2 or 2 IU; Experiment 3) or Tween-20 (Experiment 4) was applied directly on the cortical surface after removal of the subdural hematoma. Rats were allowed to survive for 48 h. Note that blood evacuation was finished within 5 min. B = 15-min baseline; M = 15 min monitoring after subdural blood evacuation.

168 Assessment of lesion volume

169 Rats were transcardially perfused by 4% buffered 170 paraformaldehyde, and their brains were removed and post-171 fixed in paraformaldehyde for 24 h. Brains were embedded in 172 paraffin and coronal sections spaced 250 µm apart were taken 173 throughout the lesion. Sections were then stained with 174 hematoxylin and eosin to delineate the injury. The damaged 175 area on each section was photographed with a CCD camera 176 (SSC-C370P, Sony) which was connected to a light microscope (Zeiss, Oberkochen, Germany) and was outlined using an 177 178 image-analyzing software (Optimas 6.51, VSG, UK). Lesion 179 volume consisted of the sum of all measured injured areas 180 multiplied by 250 µm.

181 Experimental series, groups and treatment

182 The study consisted of four separate experiments.

183 Experiment 1. Pharmacokinetics of EPO in blood cerebrospinal fluid (CSF) and brain tissue was studied in the 184 185 ASDH model. Rats received an i.v. injection of either NaCl 186 (n = 3), EPO 200 IU (n = 4), EPO 2000 IU (n = 3) or EPO 187 20,000 IU (n = 4). Blood samples were withdrawn before and 188 15, 30, 40 and 60 min after ASDH. CSF was collected from the 189 cisterna magna at about 75 min post-ASDH (approx. 60 min 190 after EPO injection). Thereafter, brains were carefully removed 191 (80 min post-ASDH), and split into hemispheres. Blood plasma, 192 CSF and brain tissue were frozen immediately and analyzed for 193 EPO content by ELISA. (R + D Systems).

Experiment 2. Experiment 2 examined the neuroprotective 194 effect of i.v. injected EPO at 200 (n = 11), 2000 (n = 9) and 195 20,000 IU/animal (n = 8). EPO (NeoRecomon[®], Roche, 196 Switzerland) was injected 15 min post-ASDH. The control group 197 (n = 9) received the same volume of NaCl 0.9%. Sham 198 animals (n = 9) received 0.9% NaCl. but no ASDH. Histological 199 analysis of brain damage was performed 48 h after ASDH or 200 sham-operation. 201

Experiment 3. Experiment 3 explored the neuroprotective 202 effect of cortically applied EPO after evacuation of subdurally 203 infused blood at 60 min post-ASDH. After removal of the 204 infused blood volume the bone flap was reinserted. Through a 205 small burr hole in this bone flap 150 µl EPO or NaCl was 206 applied onto the cortical surface with minimal fluid loss at 207 around 70 min post-ASDH. Animals received 0.02, 0.2 or 2 IU 208 (n = 9/group). Vehicle-treated animals (n = 9) received NaCl 209 0.9% and sham animals (n = 9) were treated with either NaCl 210 or EPO. Brains were removed for histological analysis of lesion 211 volume at 48 h after ASDH or sham-operation. 212

Experiment 4. An additional experimental series was started 213 to test whether Tween-20 (Sigma), a component of 214 NeoRecomon[®] (0.1 mg/0.3 ml), was responsible for adverse 215 effects of cortically applied EPO. The identical experimental 216 217 protocol as for Experiment 3 was used with the exception that 100 µl EPO was replaced by 0.033% Tween-20 solution. 218 Control animals received NaCl 0.9%. No sham group was 219 included. Brains were removed for histological analysis at 48 h 220 post-ASDH. 221

Statistical analysis

Statistical analysis was performed by the statistical program223SigmaStat 3.10 (Systat). Groups were compared by one-way224analysis of variance (ANOVA) with Student–Newman–Keuls225post hoc test for individual differences. If normality of data was226not reached, a one-way ANOVA on ranks was performed. All227values are displayed as mean ± SEM.228

RESULTS

Physiological data

All parameters were within physiological ranges and EPO231had no effect on MABP, blood gases and hematocrit232(Table 1). ASDH did not affect any parameters233significantly. A slight 'Cushing reflex' i.e. physiological234blood pressure response to increased ICP, was235observed that lasted from the 4th to 20th-min after the236start of blood infusion.237

Pharmacokinetics of i.v. injected EPO after ASDH

EPO concentration in blood plasma was not detectable in 239 baseline samples and 15 min after ASDH. Venous 240 injection of 200, 2000 or 20,000 IU EPO per animal at 241 15 min post-ASDH led to an immediate increase of 242 values to 0.88 \pm 0.25, 134.6 \pm 27.8 and 1484.7 \pm 243 299.5 IU/ml, respectively. Thereafter, EPO concentration 244 in blood samples decreased continuously (Fig. 2). In 245 CSF samples EPO reached values of 458.1 ± 70.2, 246 3406.8 ± 693.5 and 100,499.0 ± 52,517.1 mIU/mI at 247 around 75 min post-ASDH. EPO of NaCl-treated rats 248 was undetectable in CSF samples (Fig. 2). In brain 249 tissue EPO was dose-dependently increased at around 250 80 min after ASDH. Ipsilateral concentration was 251

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Groups	MAP [mmHg]	рН	pCO ₂ [mmHg]	pO ₂ [mmHg]	Hb	Hct [%]	Glucose [mg/dl]	Lactate [mmol/L]
Baseline								
Sham	75.3 ± 2.4	7.42 ± 0.01	38.2 ± 2.82	129.0 ± 4.98	15.3 ± 0.37	46.5 ± 1.55	177.4 ± 29.52	1.08 ± 0.14
NaCl	74.6 ± 2.65	7.42 ± 0.02	39.6 ± 3.56	117.7 ± 6.49	15.0 ± 0.95	45.9 ± 1.48	176.0 ± 8.65	0.98 ± 0.16
EPO 200 IU	73.8 ± 2.2	7.39 ± 0.02	41.9 ± 3.94	119.7 ± 7.22	15.4 ± 0.55	44.6 ± 1.73	176.4 ± 27.89	0.93 ± 0.14
EPO 2000 IU	75.7 ± 2.93	$7.40~\pm~0.02$	41.3 ± 1.46	122.8 ± 7.17	14.9 ± 0.29	45.7 ± 0.94	202.7 ± 14.38	0.97 ± 0.15
EPO 20.000 IU	74.3 ± 2.17	7.39 ± 0.01	39.4 ± 1.64	126.6 ± 4.73	14.8 ± 0.42	43.3 ± 1.25	186.0 ± 15.3	0.93 ± 0.11
End of monitoring								
Sham	72.1 ± 1.52	7.40 ± 0.01	38 ± 1.23	122.5 ± 3.77	14.8 ± 0.2	44.6 ± 1.41	176.8 ± 14.78	1.02 ± 0.08
Vehicle	81.6 ± 2.87	7.38 ± 0.02	39.4 ± 1.98	120.0 ± 3.61	14.7 ± 0.86	44.7 ± 1.07	196.0 ± 9.94	1.04 ± 0.14
EPO 200 IU	79.1 ± 2.56	7.39 ± 0.02	42.8 ± 1.53	123.5 ± 3.95	15.3 ± 0.5	43.8 ± 1.23	204.7 ± 20.17	0.98 ± 0.13
EPO 2.000 IU	77.5 ± 2.93	7.41 ± 0.01	40.0 ± 1.33	127.3 ± 1.55	15.0 ± 0.32	45.8 ± 0.98	196.3 ± 8.75	1.00 ± 0.08
EPO 20.000 IU	79.0 ± 1.87	7.37 ± 0.01	40.9 ± 1.53	122.9 ± 5.34	15.1 ± 0.36	44.2 ± 1.31	200.8 ± 7.16	0.95 ± 0.15

Table 1. Physiological data before and after ASDH of Experiment 2 given as mean \pm SEM. MAP = mean arterial blood pressure; pCO₂/pO₂ = arterial carbon dioxide and oxygen pressure; Hb = hemoglobin; Hct = hematocrit

258 Monitoring of ICP, CBF and ptiO₂

In Experiment 2 ICP of all groups was within a narrow 259 range of 7.1 ± 1.5 (EPO 200) and 7.9 ± 1.0 mmHg) 260 (EPO 20,000) (n.s. between all groups). ASDH 261 temporarily increased ICP to 84.7 ± 5.9 (NaCl), 262 79.4 ± 1.9 (EPO 200), 83.6 ± 7.0 (EPO 2000) and 263 87.1 ± 3.4 mmHg (EPO 20,000) (n.s. between injured 264 groups). At time point of EPO injection, ICP had 265 266 decreased to 18.0 ± 3.0 , 18.2 ± 1.8 , 18.5 ± 2.4 and 267 20.0 ± 1.0 mmHg (sham: 7.3 \pm 0.9 mmHg). Thereafter, ICP stabilized and values were 18.1 ± 2.5 (NaCl), 268 17.3 ± 1.4 (EPO 200), 17.1 ± 2.2 mmHg (EPO 2000); 269 (sham: 7.2 ± 0.9 mmHg) at 60 min post-ASDH. Only 270 ICP of EPO 20,000 increased after EPO injection and 271 272 was significantly higher than in all other groups $(22.0 \pm 2.3 \text{ mmHg}; p < 0.05 \text{ vs. all groups}).$ 273

Baseline ipsilateral CBF was 33.7 ± 0.5 (NaCl), 274 33.5 ± 0.4 (EPO 200), 33.1 ± 0.4 (EPO 2000) and 275 33.0 ± 0.4 Laser-Doppler units (LDU; EPO 20,000). As 276 depicted in Fig. 3 blood flow dropped to $15.0 \pm 2.1\%$, 277 $18.3 \pm 3.7\%$, $13.6 \pm 1.3\%$ and $12.2 \pm 0.9\%$ of baseline 278 LDU. Thereafter, CBF slowly increased to $47.8 \pm 3.4\%$, 279 $42.7 \pm 4.8\%$, $49.2 \pm 4.6\%$ and $43.3 \pm 2.4\%$ of baseline 280 281 LDU at the end of monitoring 60 min post-ASDH. Sham animals showed a stable CBF throughout the 282 experiment (baseline: 33.0 ± 0.5 LDU; 60 min post-283 284 ASDH 32.8 ± 0.2 LDU).

In Experiment 3, ICP was between 6.0 ± 0.7 mmHg (EPO 0.02) and 8.1 ± 0.5 mmHg (sham) (n.s. between all groups). ICP increased to a maximum of 67.0 ± 7.8 (NaCl), 73.0 ± 4.1 (EPO 2), 68.8 ± 2.7 (EPO 0.2) and 73.7 ± 3.8 mmHg (EPO 0.02; n.s. between injured groups). Immediately before the evacuation of subdural blood ICP reached 20.7 \pm 2.7, 15.0 \pm 0.7, 14.3 \pm 1.1 291 and 16.2 ± 1.1 mmHg. Beginning of craniotomy and Q4 292 blood evacuation reduced ICP to 12.6 ± 1.4 293 12.0 ± 0.6 , 12.3 ± 1.0 and 12.8 ± 0.7 mmHg 294 (p < 0.007 all injured groups vs. sham). End of 295 craniotomy and injection of NaCl or EPO elevated ICP 296 again to 22.4 ± 1.2 , 18.2 ± 1.5 , 19.4 ± 1.5 and 297 $22.5 \pm 2.3 \text{ mmHg}$ (p < 0.001 all injury groups vs. sham). 298

Baseline CBF was 30.5 ± 0.2 (sham), 31.0 ± 0.4 299 (NaCl), 31.2 ± 0.5 (EPO 2), 30.4 ± 0.3 (EPO 0.2) and 300 30.0 ± 0.4 LDU (EPO 0.02). CBF of sham-operated 301 animals remained stable and was 31.4 ± 0.7 LDU at 302 the end of the experiment. ASDH reduced ipsilateral 303 CBF to $10.3 \pm 1.3\%$ (NaCl), $9.5 \pm 1.1\%$ (EPO 2), 304 $10.8 \pm 2.1\%$ (EPO 0.2) and $11.6 \pm 1.5\%$ (EPO 0.02) of 305 baseline LDU. CBF recovered slowly to 30% and 306 increased further after the beginning of craniotomy and 307 the evacuation of subdural blood (NaCl: $35.5 \pm 2.7\%$; 308 EPO 2: 41.6 ± 4.0%; EPO 0.2: 43.3 ± 4.5%; EPO 309 0.02: 45.7 \pm 4.9%). End of craniotomy and the injection 310 of 100 µl NaCl or EPO decreased CBF again, ranging 311 from 18.1 \pm 1.0 (NaCl) and 28.2 \pm 4.8% (EPO 0.2). At 312 any time point, injured groups did not differ significantly 313 from each other (see Fig. 3C, D). 314

Neuroprotection by EPO

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As depicted in Fig. 4A lesion volume at 48 h after ASDH Q5 $_{316}$ and i.v. injection of NaCl or EPO was $_{38.2 \pm 0.6 \text{ mm}^3}$ $_{317}$ (NaCl), $_{28.5 \pm 0.9 \text{ mm}^3}$ (EPO 200), $_{22.2 \pm 1.3 \text{ mm}^3}$ $_{318}$ (EPO 2000) and $_{46.5 \pm 1.7 \text{ mm}^3}$ (EPO 20,000). $_{319}$

Evacuation of subdurally infused venous blood 320 produced a lesion volume of $36.0 \pm 5.2 \text{ mm}^3$ at 48 h 321 post-ASDH (Fig. 4B). Cortical application with 0.02 IU 322 reduced damage to $11.2 \pm 2.1 \text{ mm}^3$ (p = 0.007), 323 whereas 0.2 IU had no effect on lesion size 324 ($38.0 \pm 9.0 \text{ mm}^3$) and 2 IU increased injury massively to 325 $67.9 \pm 10.4 \text{ mm}^3$ (p = 0.006). 320

In Experiment 4, treatment with NaCl or Tween-20 led 327 to a lesion volume of $49.1 \pm 8.4 \text{ mm}^3$ and 42.5 ± 328 3.6 mm^3 at 48 h following ASDH (p = 0.490). 329 M. Rahimi Nedjat et al. / Neuroscience xxx (2013) xxx-xxx

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Fig. 2. EPO concentrations in blood plasma, cerebral spinal fluid (CSF) and brain tissue. CSF and tissue samples were harvested about 75 min and 80 min after ASDH, respectively. Values are given as mean \pm SEM.

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DISCUSSION

Two large on-going clinical trials (NCT00987454; 331 NCT00313716) explore the hypothesis 332 that а recombinant human erythropoietin is neuroprotective in 333 traumatic brain injury as it has been proved in many 334 pre-clinical trials (Brines et al., 2000; Yatsiv et al., 2005; 335 Lee et al., 2006; Chen et al., 2007; Cherian et al., 2007; 336 337 Zhang et al., 2009) The present pre-clinical study could demonstrate that EPO is also neuroprotective in a 338 model of acute subdural hematoma and that direct 339 cortical application after evacuation of the subdurally 340 infused blood is the most effective route of application in 341 this injury type. In addition, EPO caused adverse effects 342 on lesion volume independent of systemic or direct 343

cortical application at the highest tested concentration, revealing a devastating side-effect of EPO.

Pathophysiological mechanisms which play an 346 important role for lesion development after ASDH in 347 animals are increased ICP (Miller et al., 1990; Sawauchi 348 et al., 2004; Jussen et al., 2008), wide-spread ischemia 349 and CBF reduction underneath the hemorrhage (Kuroda 350 and Bullock. 1992a.b: Tsuchida et al.. 1999). 351 inflammation (Fahrig et al., 2005) apoptotic cell death 352 (Alessandri et al., 2006) and blood constituents from 353 extravasated blood (Kuroda et al., 1992; Baechli et al., 354 2010). Elimination of one or more of these 355 pathomechanisms will reduce brain tissue damage 356 following ASDH (Sawauchi et al., 2004; Alessandri 357 et al., 2006; Jussen et al., 2008). Thus, a combination 358 of surgical intervention as well as the use of a multi-359 functional drug as proposed by Vink and Nimmo (2009)) 360 could be a potent treatment strategy. Recombinant 361 human erythropoietin is such a multi-functional drug and 362 EPO receptors are found on endothelial cells, neurons, 363 astrocytes, oligodendrocytes and microglia (e.g. 364 Hasselblatt et al., 2006). EPO induces neuroprotection 365 after brain injury through various mechanisms (for 366 review see: Hasselblatt et al., 2006; Xiong et al., 2009; 367 Velly et al., 2010) of which many are involved in ASDH-368 induced injury development. 369

Systemically injected EPO concentration increased 370 dose-dependently in blood, CSF and brain tissue with a 371 more pronounced increase ipsilaterally due to BBB 372 breakdown (Fig. 2). Only 0.05-0.1% of i.v.-injected EPO 373 is crossing the intact BBB (Banks et al., 2004) and EPO 374 reduces BBB breakdown after ischemia (Chi et al., 375 2008). Both factors may influence dose selection and 376 effective treatment with EPO. A dose of 5000 IU/kg has 377 been found most efficacious in models of ischemia, TBI 378 and intracerebral hemorrhage (Lee et al., 2006; Chen 379 et al., 2007; Cherian et al., 2007; Wang et al., 2007; 380 Hartley et al., 2008; Xiong et al., 2010). For the first 381 time we could show that 200 (620 IU/kg) and 2000 IU/ 382 animals (6200 IU/kg) were neuroprotective after ASDH 383 and reduced lesion volumes by 25% and 42%, 384 respectively. This enormous protective effect of 2000 IU 385 is in line with reduced lesion volume or atrophy by i.v. 386 5000 IU/kg EPO following focal ischemia (49% Li et al., 387 2007, ±17% Jia et al., 2010), traumatic brain injury 388 (>50%: Cherian et al., 2007, 35%: Hartley et al., 2008, 389 $\pm 37\%$: Xiong et al., 2010) or intracerebral hemorrhage 390 (40%: Lee et al., 2006). 391

In order to avoid the BBB as confounding factor we 392 applied EPO directly onto the cortical surface after 393 subdural blood removal in study 2. Early evacuation 394 generates decreased mortality in patients (e.g. Bullock 395 et al., 2006) which is partially due to reduced ICP, 396 improved microcirculation and effects of removed blood 397 constituents (see Fig. 3C, D, Kuroda and Bullock, 398 1992b; Kinoshita et al., 1994; Verweij et al., 2001; 399 Sawauchi et al., 2004; Jussen et al., 2008; Baechli 400 et al., 2010). A combination of removal of a subdural Q6 401 hematoma and pharmacological treatment has been 402 seldom performed. Most experimental studies left the 403 subdural volume in place and targeted a single 404

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Fig. 3. Time-course of ICP (mmHg) and CBF (% baseline). Following a baseline period 400 μ l venous blood was infused at a rate of 50 μ l/min (gray bar, arrow). NaCl and EPO have been given by i.v. injection 15 min after the infusion of subdural blood (panel A, B) or by direct cortical application after evacuation of infused blood (panel C, D). All values are given as mean \pm SEM. ASDH = acute subdural hemorrhage. *p < 0.05 vs. NaCl-treated ASDH group.

neuroprotective mechanism such as excitotoxicity or 405 hydroxyl radicals (Kuroda et al., 1994; Tsuchida et al., 406 1996; Alessandri et al., 1999, 2006; Uchida et al., 2001; 407 Reinert et al., 2002; Kwon et al., 2003; Mauler et al., 408 2003, 2004; Fahrig et al., 2005). Jussen et al. (2008) 409 410 combined surgery at 1 h post-ASDH with hyperoncotic/ 411 hypertonic solution treatment and achieved more than 50% lesion reduction and significant recovery of motor 412 function. Unpublished results from our laboratory 413 indicate that ASDH-induced tissue damage doubles 414 from 1 to 6 h and again from 6 to 24 h post-ASDH. This 415 time-course implicates that surgery in combination with 416 a multi-functional drug such as EPO at 60 min post-417 418 ASDH could have the potential to attenuate lesion growth and behavioral deficits in rats massively. Lesion 419 volume at 48 h post-ASDH decreased by 68% from 420 $36.0 \pm 5.2 \text{ mm}^3$ to $11.2 \pm 2.1 \text{ mm}^3$ using 0.02 IU in 421 combination with hematoma evacuation. Similar to 422 results from combining surgery and HHT by Jussen 423 O7 424 et al. (2008) behavioral improvement can also be expected with EPO treatment. Short-time monitoring of 425 ICP and CBF indicate that these parameters were not 426 responsible for EPO-induced effects. In addition to injury 427 reduction by hematoma removal (Jussen et al., 2008) 428 EPO contributed to the strong neuroprotection of the 429 combined treatment by its broad function. This could 430 include mechanisms such as anti-apoptosis, anti-431

inflammation, anti-oxidation, protection from glutamate 432 excitotoxicity and preserved energy metabolism in the 433 brain which all play a well-known role in lesion 434 development after experimental ASDH (Kwon et al., 435 2003; Fahrig et al., 2005; Alessandri et al., 2006; 436 Baechli et al., 2010). Evacuation of subdurally 437 extravasated blood and thus, exposing the underlying 438 injured brain tissue enables the circumvention of the 439 BBB. As a major consequence we could reduce the 440 amount of EPO necessary for neuroprotection from 441 200 IU/animal down to 0.02 IU/animal. In the clinical 442 setup this would add up to an at least 10,000 times 443 lower EPO load for patients suffering from traumatic 444 ASDH, thereby reducing systemic side-effects as well as 445 treatment costs. 446

No protective effect could be found with topical 447 application of 0.2 IU and even an adverse effect by 2 IU 448 $(67.9 \pm 10.4 \text{ mm}^3)$ and by i.v. injection of 20,000 IU 449 (equals 62,000 IU/kg; $46.5 \pm 1.7 \text{ mm}^3$). A bell-shaped 450 dose-response curve has already been reported in vitro 451 and in vivo (e.g. Weishaupt et al., 2004). However, 452 multiple i.p. or s.c. injection of 30,000 IU/kg did not 453 reveal an adverse but on the contrary a neuroprotective 454 effect on ischemic damage in adult mice (Wakida et al., 455 2007) and newborn rats (Kellert et al., 2007). Even a 456 dose of as high as 50,000 IU/kg prevented isoflurane-457 induced neurodegeneration in the developing brain 458

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Fig. 4. Lesion volume at 48 h after ASDH. Animals were treated with NaCl or EPO either 15 min after the end of subdural blood infusion by i.v. injection (upper graphic) or 70 min after ASDH by direct cortical application following ASDH evacuation (lower graphic). All values are given as mean \pm SEM. * indicates significant difference to NaCl-treated animals; § indicates significant difference to sham-operated animals (all p < 0.05; one-way ANOVA with Student–Newman–Keuls post hoc comparison). The two histological sections show a cortical lesion after i.v. NaCl- (left) and 2000 IU EPO-treatment (right). Sections were stained with hematoxylin–eosin.

459 (Tsuchimoto et al., 2011). Negative effects of exogenous 460 EPO are mainly reported in cancer research (for review see: Hadland and Longmore, 2009). Since tumor cells 461 express few EPO receptors adverse effects are 462 attributed rather to thrombovascular events than to a 463 direct EPO effect on tumor cells. EPO treatment 464 induces higher hemoglobin levels, platelet activation and 465 neovascularization by the activation of endothelial cells. 466

Similarly, an increased concentration of erythrocytes is 467 a potentially harmful effect for the ischemic and 468 traumatized brain and should therefore be avoided. 469 Hematocrit increases continuously to almost 60% after 470 multiple injections of 5000 IU/kg in rats within 4 days 471 (Klemm et al., 2008; Zhang et al., 2009). Five injections 472 of 10,000 IU/kg EPO increased hematocrit only by 10% 473 on day 4 and by 20% on day 7 in a mouse anemia 474 model (Kabaya et al., 1995). Neuroprotection in our 475 study was assessed at day 2 post-ASDH, i.e. before 476 elevated hematocrit levels of 55-60% are reached. 477 Therefore, EPO-induced thrombovascular events seem 478 not to be responsible for the acute adverse effect of 479 EPO. Results that transgenic mice overproducing EPO 480 only in brain cells have no elevated hematocrit but are 481 protected against brain ischemia (Frietsch et al., 2007) 482 present a similar aspect. Already concentrations as low 483 as 2 (Fig. 4B) and 200 IU (data not shown) which could 484 not have influenced hematocrit significantly had 485 detrimental effects on lesion volume in the present 486 study. Thus, other factors are responsible for this 487 adverse effect. Overexpressing brain EPO in these 488 transgenic mice increase the CBF by 11% and 489 metabolic rate of glucose by 22% (Frietsch et al., 2007). 490 It is unclear whether an EPO-induced CBF effect could 491 still occur in the penumbral region where vessels are 492 already dilated due to increased energy demand. On the 493 other hand, EPO-induced elevated glucose metabolism 494 might push already compromised cells after ASDH 495 (Kuroda and Bullock, 1992b) over the edge, thereby 496 expanding brain injury. As seen in Fig. 3B 20,000 IU per 497 animal caused a significant CBF drop but did not 498 influence the CBF time-course. Simultaneously an 499 increase of ICP indicated an adverse effect for this 500 systemically applied concentration. Since CBF (Fig. 3B) 501 and ptiO₂ did not show a parallel reaction the effect of 502 EPO on ICP might be an epiphenomenon. However, 503 delayed effects of high systemically or topically applied 504 EPO concentrations on lesion development through 505 ICP, CBF or glucose metabolism cannot be ruled out. 506 We tested also the idea that Tween-20, a detergent and 507 potentially harmful ingredient of the used 508 NeoreRecomon[®] might have played a role in injury 509 development after treatment with high EPO 510 concentrations. Direct application of a Tween-20 511 solution onto the cortical surface did not augment lesion 512 volume which rules out Tween-20 as harmful ingredient. 513

The fact that cortically applied 2 IU also expanded 514 lesion volume extremely point at a direct effect of EPO 515 through its receptor and activated pathways. EPO 516 activates two types of EPO receptors, a high-affinity 517 homodimer and low-affinity heteromeric receptor which 518 belong to the cytokine type-1 family receptors (Brines, 519 2010). The low-affinity receptor with its neuroprotective 520 properties is expressed immediately after TBI that is 521 followed by the stimulation of high-affinity EPO receptor 522 at 24 h post-injury and then by astrocytic and neuronal 523 EPO production (e.g. Bernaudin et al., 1999). The 524 consequences of the simultaneous activation of both 525 receptors or over-stimulation of the low-affinity receptor 526 on signaling cascades have not been thoroughly 527

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examined in respect to detrimental processes. The EPO 528 receptor has no intrinsic kinase activity but ligand 529 dimerization that enables bindina causes 530 autophosphorylation and phosphorylation of Janus-531 tyrosine kinase-2 (JAK-2). Significant activated signaling 532 pathways for the neuronal functions seem to be the 533 activation of phosphatidylinositol-3-kinase (PI3-K)-Akt/ 534 protein kinase B pathway, the Ras-mitogen-activated 535 protein kinases, signal transducers and activators of 536 transcription-5 (STAT-5) and necrosis factor-kB-537 dependent transcription (Bernaudin et al., 1999; Kilic 538 et al., 2005; Hasselblatt et al., 2006; Zhang et al., 2006; 539 Valable et al., 2010). Disturbance of one or more 540 541 pathways might lead for example to altered endothelial NO production via PI3 kinases or bcl-2 production via 542 AKT signaling pathways and thereby to blocking/ 543 reversing pivotal protective mechanisms. Since i.v. 544 injection of more than 60,000 IU/kg is unlikely for the 545 treatment of head-injured patients our reported 546 neurotoxic side-effects of EPO are of theoretical 547 interest. In the case of topical application for which a 548 concentration as low as 2 IU per rat was devastating, 549 our reported adverse effect is important. Comparable to 550 our topical application is the intracerebroventricular 551 (i.c.v.) injection of EPO (Bernaudin et al., 1999; Calapai 552 553 et al., 2000; Zhang et al., 2006). Post-ischemic i.c.v. 554 injection of 5-50 IU was neuroprotective, i.e. at dosages 555 with which we already observed detrimental effects. Factors which could explain this discrepancy could be 556 dilution and different distribution after i.c.v. injection or 557 interactions with anesthesia-induced processes (e.g. 558 Hockel et al., 2012). In order to perform EPO treatment 559 of traumatic ASDH it will be necessary to address such 560 factors in further pre-clinical studies beforehand. 561

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