

## 14 Abstract

15 The direct endonasal or transcriptional transcription to the skull base permit effective, minimally invasive surgery along the 16 clivus region. Developing long-term effective techniques to prevent cerebrospinal fluid (CSF) leaks and their consequences (infections 17 and healing processes with long and complicated recoveries) remains a major challenge. In this study we tested a method of bone 18 reconstruction newly developed by us, which uses a specially designed silicone plug for bone replacement after minimally invasive 19 skull base surgery with nearly no postoperative CSF leaks. German landrace pigs were used to test the plugging efficiency of the 20 new technique. Twelve craniotomies were performed by a subtemporal approach and subsequently the dura was opened. After these 21 preparations the craniotomy defects were occluded with a silicone ball, which had a near spherical shape. The ball elastically adapts 22 to the bone defect. Each pig received an intracranial pressure (ICP) catheter and a subdural catheter for later fluorescein injection. 23 Then we increased ICP by infusion of artificial CSF and detected fluorescein leaks from the craniotomy using ultraviolet illumina-24 tion and a photomacroscope equipped with appropriate filters and a charge-coupled device camera. In all pigs we increased ICP to 25 75-80 mmHg by infusing 25-30 mL saline containing 0.05% sodium fluorescein. The first four craniotomies had to be interrupted 26 due to technical reasons (false craniotomy size and leak of the subdural catheter). The following eight craniotomies were 100% tight 27 without CSF leakage. This novel medical device allows an absolutely leak-proof closure of bone defects left after minimally invasive 28 craniotomies; no additional surgeries or other therapies were necessary. The application of the silicone plug, which is made of a 29 cost-effective and biocompatible material, is easy and fast, making use of a specially developed toolkit.

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31 *Keywords:* Bone reconstruction model; Skull base surgery; Silicone plug; CSF leakage 32

# 33 1. Introduction

Since the introduction of extended trans-sphenoidal or
transoral transclival approaches in the early 1970s, many
significant advances have been applied to this technique,

particularly the addition of the endoscope as an instru-37 ment of assistance to the microscope or for direct vision.<sup>6</sup> 38 However, in the transclival approach, the different meth-39 ods to restore the bone (clivus) particularly for the pre-40 vention or treatment of postoperative cerebrospinal fluid 41 (CSF) leakage, was unsatisfactory. Today, following 42 transclival surgery the bone is normally repaired by using 43 an abdominal fat graft replacing the absent bone, fol-44 lowed by a collagen sponge and a titanium mesh buttress, 45

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46 which is wedged into the epidural space. Additional fat 47 and tissue glue is placed in the sphenoid sinus if the sur-48 gery is performed trans-sphenoidally. In most cases lum-49 bar CSF drainage is used postoperatively for 4–5 days 50 and for 2 days an additional nasal packing is placed. This 51 common bone reconstruction model has limits, and repeat 52 surgery to treat CSF leakage is often necessary.<sup>2–11</sup>

53 The closure of the skull base bone defect after skull base 54 surgeries to avoid CSF leakage and meningitis repre-55 sents the most serious and continual challenge; this is 56 reflected in the high rate of postoperative CSF leakage 57 that is encountered in multiple series published by big 58 international scull base surgical centers and also known 59 from our experience. A perfect technique has not vet 60 been devised, despite the valiant and ingenious methods 61 that have been suggested.<sup>5</sup> (Edward Laws, Journal of 62 Neurosurgery, 2005)

63 In thinking about the very serious problems in transcli-64 val skull base surgery we searched for an easy, fast and 65 practical application as well as a biocompatible "solu-66 tion" for the human body. We developed a specially de-67 signed silicone plug from a biocompatible material, 68 which adapts elastically to fill out the bone defect. The 69 plug can be covered with two specially prepared thin lay-70 ers: 1) to enhance the building of scar tissue in the sur-71 rounding area, and 2) to protect the surrounding 72 environment against infections.

73 A pig model was designed to analyze CSF leakage after 74 occluding craniotomies with the newly constructed silicon 75 plug. Leakage was determined by detecting fluorescence 76 from artificial CSF containing fluoresceine and was in-77 fused subdurally. In this study we have tested the newly 78 designed model of bone reconstruction for use after min-79 imally invasive trans-sphenoidal or transoral transclival 80 surgery.

#### 2. Material and methods

### 2.1. Silicone plug model and application material

Spherical- and double-lens-shaped (compressed ball) 83 parts were made from soft, tear-proof and elastic silicone 84 rubber (Shore hardness 28, tear strength 6.5 N/mm<sup>2</sup>, elon-85 gation at break 700%). The silicone material we used to 86 form the described plug is identical to the silicone material 87 used for shunt catheters by implantation in hydrocephalus. 88 Furthermore, a delayed leak cannot develop due to the fact 89 that this silicon material is not known to shrink after 90 implantation or to change its appearance in any other way. 91

The ball, when slightly compressed, could easily be in-92 serted into the craniotomy defect. The implanted material 93 can be covered with an appropriate organic and inorganic 94 coating in order to increase adhesion to the bone tissue and 95 protect the surrounding structures against infection. Differ-96 ent bacteria of the staphylococci family account for more 97 than 75% of all CSF infections associated with silicone 98 material such as shunt catheters.<sup>12</sup> Gram-negative organ-99 isms are also isolated in 15-20% of cases.<sup>12</sup> The key to 100 effective prophylaxis of silicone-associated infections seems 101 to be the prevention of initial bacterial adhesion or coloni-102 zation of the foreign body surface<sup>13</sup> using antibiotics which 103 act again Gram-positive and Gram-negative bacteria. This 104 has led to the concept of antimicrobial device impregnation 105 or coating with such antibiotics.<sup>12,14,15</sup> We used an impreg-106 nation technique to incorporate antibiotics<sup>13</sup> (rifampin for 107 Gram-positive and sparfloxacin for Gram-negative bacte-108 ria) in the silicone plug and tested the antibiotic release 109 in vitro for 45 days (Fig. 1). We compared the bacterial col-110 onization between silicone plugs, which were impregnated 111 and those which were not impregnated with antibiotics. 112 The results showed that the impregnated silicone plugs pre-113 vent the spreading of micro-organisms in that they dis-114



Fig. 1. Antibiotic release of a silicone plug impregnated with rifampin and the quinolone sparfloxacin.

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115 charge antibiotics over a long period of time into the sur-

116 rounding area (Fig. 2). Moreover, the impregnated silicone

117 plug should be suitable to prevent contamination of the



Fig. 2. Colonization of silicone plug with Staphylococcus epidermis.

material during implantation, not only by preventing colonization but also by killing bacteria pre-existing in the surgical field. 120

Furthermore, all elements can be supplied with cell 121 growth promoting compounds by dipping, spraying or 122 steaming. 123

In order to facilitate the applicability of these elements 124 (which also is easily done with a set of tweezers) both an 125 applicator and an extractor were designed. These instru-126 ments, which are sterilizable and therefore re-useable, are 127 made entirely of metal and provided with a "gun handle"; 128 they have appropriate dimensions for endoscopy or micro-129 surgery and are easily adjustable to the employed elements, 130 or they are self-adjusting. 131

The functional principle of the applicator is based upon 132 the retaining of a pre-packaged sealing material as well as 133 its sufficient and necessary deep insertion into an opening, 134 which is to be sealed/filled by the use of a guide tube and a 135 push rod. The pre-packaging of the sealing element into a 136 tube-shaped, cylindrical, thin-walled plastic part with ade-137 138 quate diameter and standardized retainer shaft, assures fast and precise handling and efficient replacing of the sealing 139 elements as well as compliance with the maximal position-140



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141 ing depth which is determined by the length of the142 packaging.

143 The functional principle of the extractor is based upon 144 the grabbing, and subsequently the sufficient and necessary 145 extraction of the sealing element from the sealed/filled 146 opening. The pull rod consists of a gripper containing at 147 least three symmetrical opening claws, which in a resting 148 position are folded inside a guide tube. When the gripper 149 is activated the curved claws open and move forward so 150 far as to enable the grabbing and the extraction of the seal-151 ing element. The hooked claws of the gripper are pushed 152 into the sealing element and retracted by tractive force. 153 This functional principle ensures that the element cannot 154 be further pushed in the direction of the brain and also cannot slip from the gripper (Fig. 3). 155

# 156 3. Experiments

To test the plug stability in the bone defect we created a 157 158 6-mm borehole on the cribriform lamina in a human skull 159 and closed the defect with a silicone plug of 8-mm diame-160 ter. We filled the surface of the front skull base with water 161 and examined the movement of the plug. We introduced an 162 air pistol transnasally and injected air under pressure (5 163 bar) through the defect. Water lying at the surface of the 164 cribriform lamina showed no air bubbles and the silicone 165 plug showed also no evidence of displacement. This short 166 experiment showed that sudden rises of intracranial pressure (ICP), such as sneezing, could not expel the plug from 167 168 its position.

169 Afterwards, to test the plug efficiency, German landrace 170 pigs (n = 6; mean weight, 30 kg) were used. Under general 171 anesthesia, with intravenous infusion of midazolam and 172 dipidolor, we performed a subtemporal approach and not 173 a transoral transclival approach. This was necessary owing



Fig. 4. Each pig received an intracranial pressure catheter, one frontal intracerebral and another frontal subdural for later fluorescein injection. Both catheters were fixed on the dura with glue to avoid fluorescein leaks from these small bone defects.



Fig. 5. Detection of fluorescein leaks from the subtemporal craniotomy using ultraviolet illumination and a photomacroscope equipped with appropriate filters and a charge-coupled device camera.

to the anatomical nature of pigs (the distance between the 174 mouth entrance and clivus is too long for clinical microin-175 176 struments; the distraction capacity of the mouth is too small), which does not allow interaction with microinstru-177 ments. We performed 12 craniotomies and opened the 178 dura. Initially, the four boreholes were performed with a 179 7-mm Rosen burr drill and were occluded with silicone 180balls, which had a near-spherical shape (8 mm diameter) 181 and formed elastically to fill the bone defect. The next eight 182 holes were drilled with a diamond drill, 6-mm in diameter, 183 and filled out with silicone balls 8 mm in diameter. Each 184 pig received an ICP catheter, one frontal intracerebral 185 and another frontal subdural for later fluorescein injection. 186 Both catheters were fixed on the dura with glue to avoid 187 fluorescein leaks from these small bone defects (Fig. 4). 188 Then we increased ICP by infusion of artificial CSF (saline 189 with 0.05% fluorescein) and detected fluorescein leaks from 190 the subtemporal craniotomy using ultraviolet illumination 191 and a photomacroscope equipped with appropriate filters 192 (excitation: 450-490 nm, dichromatic mirror: 510 nm, 193 emission: >515 nm), and a charge-coupled device (CCD) 194 195 camera (Fig. 5).

### 4. Results

We performed the first two craniotomies with a 7-mm 197 drill and occluded the bone defect with an 8-mm silicone 198 plug. We were not able to finish this experiment because 199 after increasing the ICP to 17–20 mmHg a fluorescein leak 200 appeared through the subdural catheter. We had to, There-201 fore we had to interrupt the experiment (Fig. 6a,b). The 202 203 reason for the leak was an incorrect fixation of the catheter at the dura. We performed the next two craniotomies using 204 a 7-mm drill and occluded the bone defect with an 8-mm 205 silicone plug. After increasing the ICP to 40-45 mmHg, 206 we again detected fluorescein around the surface of the 207

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Fig. 6. (a,b) Fluorescein leak appeared, coming through the subdural catheter.



Fig. 7. Detection of fluorescein around the surface of the plug, after increasing the intracranial pressure to 40-45 mmHg. The craniotomy of 7-mm diameter was too big to be filled out by the 8-mm diameter silicone plug.



Fig. 8. (a,b) During the first four craniotomies (a) fluorescein leak appeared. During the next eight craniotomies (b) fluorescein was not detected.

plug. This experiment had to be interrupted as well (Fig. 7).208We found the reasons for the leakage was due to the diam-209eter of the craniotomy being too large; it could not be filled210completely by the silicone plug. As well, an irregular shape211on the surface of the craniotomy (a Rosen burr drill caused212bone grooves) allowed fluorescein to leak (Fig. 8a,b).213

We decided to perform the next eight craniotomies with 214 a smaller (6 mm diameter) diamond drill to achieve a 215 smooth shape on the craniotomy surface. Furthermore, 216 we chose the diameter of the ball at 120% of the existing 217 craniotomy. The occlusion of the bone defects were made 218 by 8 mm silicone balls. After increasing ICP to 75-80 219 mmHg, we did not detect fluorescein at the surface of 220 any of the craniotomies. The bone defects were occluded 221 with 100% safety; CSF leakages were not detected 222 (Fig. 9a,b). 223

# 5. Discussion

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The direct endonasal or transoral transclival approaches 225 to the skull base provide an effective minimally invasive 226

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Fig. 9. (a,b) Craniotomies performed with 6-mm diamond drill and occlusion with an 8-mm silicone ball. After increasing the intracranial pressure to 75–80 mmHg, fluorescein was not detected.

227 means for preparing processes along the clivus region. The 228 major challenge remains: developing consistently effective 229 techniques to prevent cerebrospinal fluid (CSF)-leaks and 230 their consequences (infections and long healing processes 231 with long and complicated recoveries). Reconstruction to-232 day is usually performed with muscle or fat tissue and tita-233 nium plates, which lead to additional traumatic wounds and sometimes to associated complications such as infec-234 tions or neurological disorders.<sup>2,3,5,11</sup> 235

236 We have found that bone reconstruction must be per-237 formed with a material: (i) whose origin is not traumatic 238 for the patient, that is, not requiring further surgery for 239 the removal of fat or muscle; (ii) which is easily applicable 240 to the bone defect; (iii) in which the consistency is formable 241 and elastic, allowing it to completely fill the surrounding 242 surface of the craniotomy; (iv) which can be covered with 243 a specially prepared thin layer to enhance the building of 244 scar tissue in the surrounding area; (v) which is built from 245 a material that is biocompatible with the human body; and 246 (vi) which guarantees acute and longer-term tightness of the bone defect without additional surgical or conservative 247 248 therapies. Respecting these points, we developed a bone

249 reconstruction model for craniotomy defects at the skull base, which is made of biocompatible silicone for medical 250 use. This innovative new implantable medical device allows 251 tight closure of the bone after its placement inside the cra-2.52 niotomy defect without exerting additional pressure onto 253 the brain. The product could be used for closing bone de-254 fects after trans-sphenoidal or transoral transclival surgery 255 and could achieve a postoperative condition, which is both 256 absolutely free of CFS leakage and in which a pathogen 257 invasion of bacteria into the intracranial space is not 258 possible. 259

As shown in our results, the closures of the defects can 260 be performed safely if the diameter of silicone plugs exceeds 261 120% of craniotomy diameter. But what happens if the 262 bone defect is not circular and the diameter of the hole is 263 larger than 8 mm, dissimilar from our experiments? As 264 we know, in order to reach a satisfactory craniotomy in 265 the clivus region, the bone defect must be extended approx-266 imately 2 cm in length and 1.5 cm in width.<sup>3,4</sup> 267

A spherical form with these diameters would project 268 over the clivus inner surface on the brainstem, causing a 269 life-threatening compression of the brainstem. Thus, we 270 considered more ellipsoid shapes for the covering of defects 271 which are not circular. Silicone plugs with a diameter of 272 120% compared to the existing craniotomy were selected, 273 so that the slightly squeezed plugs could be easily placed 274 into the existing opening. 275

Testing of these elements demonstrated very good seal-276 ing ability. The sealing in the non-circular openings de-277 pended on the adaptability of the spherical flexible 278 element as well as sufficient radial contact pressure in 279 the narrow circular surface. In addition, the sealing effect 280was improved by the smooth surface. Concerning these 281 observations, we thought to create another form, lens-282 like, ellipsoid or quadrangular with round corners. A nug-283 get shape has the advantage over a ball shape in that it 284 exhibits a relatively large diameter with a relatively small 285 thickness. By that means, a protrusion over the bone 286 edges and compression of nerval structures can be pre-287 vented. With a pillow-shaped plug, in particular, an irreg-288 ular opening can be occluded closely. Additionally, we 289 290 observed that a circular sealing-rim around the plug im-291 proves the tightness in these irregular bone defects, because the radial contact pressure is increased without 292 293 inappropriate pressure enhancement. Due to the above, 294 variations of the implants have to be produced industrially for openings with different shapes. 295

De Diviitis et.al. showed that in order to reach a satis-296 factory craniotomy in the clivus region, the bone defect 297 must be extended approximately 2 cm in length and 1.5 298 cm in width.<sup>4</sup> It would be conceivable to have a medical 299 set with different ellipsoids elements graduated in diame-300 301 ters. The smallest form would have a width of 1.5  $cm \times 1.2$  cm (120%) with a length of 2 cm  $\times 1.2$  cm 302 (120%) and in 2-mm steps to 3 cm  $\times$  1.2 cm (120%) and 303 2.7 cm  $\times$  1.2 cm (120%). The material would have an 304 elastically and well formable surface with Shore hardness 305

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of 25-30 and a core with Shore hardness of 50-60 for bet-306 307 ter stabilization by positioning in the bone defect. Fur-308 thermore, all these elements can be covered with suitable organic and inorganic coatings to increase adhe-309 310 sion at the bone surface, as well as cell growth, favouring 311 connections by dipping, spraying, vaporizing or sputter-312 ing. Essential characteristics of the invention are thus 313 the sealing of a bone defect by a pre-compressed flexible 314 sealing material.

315 In order to facilitate the applicability of these elements 316 (which also is easily done with a set of tweezers) both an 317 applicator and an extractor were designed. These instruments, which are sterilizable and therefore re-useable, 318 319 are made entirely of metal and provided with a "gun han-320 dle". They also have appropriate dimensions for endoscopy or microsurgery and are easily adjustable to the 321 employed elements or they are self-adjusting. The advan-322 323 tages are that the skull base is reconstructed only with this material and without additional withdrawal of fat, mus-324 325 cle. etc.

326 The closure is completely tight and the duration of sur-327 gery, in cases of uncomplicated applications, is very short. 328 In cases of intraoperative safe sealing of the skull base, 329 such tools as nasal tampons, lumbar drainage, additional 330 surgeries for the withdrawal of muscle or fat, complications and side effects can be avoided as patients are able 331 to breathe freely and therefore can be mobilized immedi-332 333 ately after the surgery. The public health service profits by 334 the shortening of hospitalization stays and faster 335 discharges.

#### 336 6. Conclusion

337 This novel medical device allows a leak-proof closure 338 of bone defects left after minimally invasive cranioto-339 mies; no additional surgery or other therapies would be 340 necessary. The handling during its application is easy 341 and fast using a specially designed toolkit. The device it-342 self is made of a cost-effective and biocompatible 343 material.

#### 7. Uncited reference 344

345 [1].

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